

Iowa College Student Aid Commission

November 18, 2016



IowaCollegeAid.gov
Because College Changes Everything

IOWA COLLEGE STUDENT AID COMMISSION

Guiding Statements

Our Vision

All Iowans can achieve an education beyond high school.

Our Mission

We advocate for and support Iowans as they explore, finance and complete educational opportunities beyond high school to increase family and community success.

Our Motto

Because college changes everything.

Our Guiding Principles

- Put students first
- Respect and honor the dignity of each other and all those we serve
- Uphold the public trust
- Create and nurture internal and external partnerships that benefit our customers
- Provide services to our customers that exceed expectations and address their changing needs
- Develop and empower a motivated, compassionate, professional and accountable team
- Utilize evidence-based decision making

IOWA COLLEGE STUDENT AID COMMISSION

A G E N D A

November 18, 2016

10:00 a.m.

Iowa College Student Aid Commission Conference Room

- 1. Executive Director's ReportTab A Misjak
- *2. September 16, 2016 Meeting Minutes.....Tab B Misjak
- 3. Condition of Higher Education ReportTab C Ingleby
- 4. Student Loan Capital Strategies Presentation.....Tab D Misjak
- *5. Administrative RulesTab E Leeper
- *6. Evaluation ContractorTab F Leeper
- *7. Committee Reports.....Tab G
 - Audit and Finance Committee..... Commissioner Wells
 - Legislative Committee Commissioner Ash
 - Board Structure Committee..... Commissioner Adams
- 8. Staff ReportsTab H
 - Administrative Budget/Expenditure Summary.....May
 - Postsecondary Registration.....Small
- 9. Adjournment

* Indicates Action Item

UPCOMING MEETING DATE:

January 20, 2017 March 17, 2017 May 19, 2017

IOWA COLLEGE STUDENT AID COMMISSION

Executive Director's Report November 2016

2017-18 FAFSA and Iowa Financial Aid Application Launched October 1, 2016

On October 1, 2016, the 2017-18 Free Application for Federal Student Aid (FAFSA) became available to students, 3 months earlier than the traditional January 1 timeframe.

During the first three weeks of the 2017-18 application cycle, 15,333 Iowa residents completed the FAFSA. At a similar point in time during the 2016-17 FAFSA application cycle, there were 9,425 completed applications. That's an increase of almost 63%. Iowa College Aid promoted the early FAFSA pretty extensively, with TV and movie theater ads, and on Facebook. Staff will continue to monitor application rates to see if the increase in applicants continues, or trends back to traditional levels as time passes.

As of 11/4/2016, over 5,400 Iowa Financial Aid Applications have also been completed.

College and Career Readiness Roadmap Collaborative

The Iowa College and Career Readiness Roadmap Collaborative was formed as a coalition of education stakeholder groups, colleges, universities and state agencies with an interest in strengthening college and career readiness (CCR) efforts in Iowa. Iowa College Aid is a founding member of the Collaborative, which also serves on the delegation representing our state for the First Lady's Reach Higher Initiative. Since its participation in the first National Convening, the Collaborative has identified strategies and developed work teams to support statewide CCR efforts, with a long term outcome of increasing postsecondary enrollment, persistence and completion, with particular attention to improving outcomes for underrepresented populations.

The 5th National White House Reach Higher Convening took place October 28-30 in Washington, DC. With the theme of Connecting the Dots: Counseling, Cultural Competence, and College and Career Readiness, state teams came together to dive into a state planning rubric for systemic interventions on this theme. Iowa sent four members of the statewide College and Career Readiness (CCR) Roadmap Collaborative to participate. The Convening featured: Eric Waldo, Executive Director of the Reach Higher Initiative; John King, U.S. Secretary of Education; and Bill Mendoza, Executive Director of the White House Initiative on American Indian and Alaska Native Education. There was a strong emphasis throughout the event on courageous conversations around cultural competency to better support underserved populations across the nation.

Iowa's CCR Roadmap Collaborative will reconvene with its 15 other members next month to finalize governance structure and determine next steps to incorporate

systemic interventions across existing work teams of: Policy, Licensure and Certification; Professional Development; Educator Preparatory Programs; Research and Advocacy; and Students & Families – Access/Success.

Iowa's Go Alliance Academy

In partnership with Area Education Agencies, Iowa College Aid is bringing the Go Alliance Academy curriculum to educators around Iowa, as a tool to improve effectiveness in preparing all students for college – especially those from low income-families who would be first-generation college students. The program is comprised of a series of online modules, delivered using the latest, state of the art platform for an engaging and interactive user experience. Iowa College Aid has a contract with Southern Regional Education Board (SREB) to access curriculum, deliver modules and provide trainings to the instructors facilitating the courses. Both license renewal and graduate credit is offered to participants who complete the course. Iowa College Aid is providing scholarships to educators who complete a course during one of the first two course offerings. The first module, Building a College Going Culture, is currently offered in two sections with fifteen students in each section. A third section of the first module will also begin on November 28 with an additional 15 students. All sections have numerous students on the waiting list, looking forward to the new class offering in the Spring of 2017. Additional modules include:

- *College, Career and Academic Planning*
- *Financial Aid and College Applications*
- *College and Career Advising in the Middle Grades*
- *Maximizing School Counselor Impact on Student Success: A Module for School Leaders*

Future Ready Iowa Alliance

Future Ready Iowa is a commitment to collaboration with a variety of stakeholders to help close the skills gap by better aligning our education, economic development and workforce development initiatives. Future Ready Iowa establishes a shared vision and goal that 70% of Iowans in the workforce will have education and training beyond high school by 2025. The Future Ready Iowa Alliance will establish working groups to focus on accomplishing three objectives:

- Get Iowans Ready
- Get Iowans Credentialed
- Get Iowans Hired.

The working groups include: College and Career Readiness Group, Postsecondary Enrollment and Completion Group, Upskilling Group, Business and Industry High-Demand Career Alignment Group and Communications and Outreach.

Several staff members and Commissioners are serving either on the Alliance or on a work group.

**IOWA COLLEGE STUDENT AID COMMISSION
MINUTES OF MEETING
September 16, 2016
10:00 a.m.**

Members Present:

Janet Adams	Michael Ash
Amy Brace	Roger Claypool
Crystal Ford	Frederick Moore
Katie Mulholland	Herman Quirnbach
Doug Shull	Jeremy Varner
Cindy Winckler	

Members Absent:

Tedd Gassman	Tim Kraayenbrink
Karolyn Wells	

Staff Present:

Todd Brown	Jethro DeLisle
Jamie Fisher	Laura Ingleby
Julie Leeper	Kris May
Karen Misjak	Elizabeth Keest-Sedrel
Christina Sibouih	Carolyn Small
Ashley Wendt	

AG Present:

Emily Willits

Guests Present:

Kathy Barton	Iowa National Guard
Matt Brown	Iowa Student Loan
Angela Carlson	Capri College
Dave Epley	House Democratic Staff
Threase Harms	Iowa Cosmetology Schools Association
Dane Schumann	Iowa Cosmetology Schools Association

Call to Order

The Iowa College Student Aid Commission met for a regularly scheduled meeting on September 16, 2016. Commission Chair Adams called the meeting to order at 10:02 a.m.

Executive Director's Report

Ms. Misjak said with a simplified message and our College Passport activity that encouraged fairgoers to visit the College and University booths located in the Varied Industries Building, it was a successful year at the Iowa State Fair. This activity also encouraged fairgoers to like or follow us on social media. There was a noticeable increase in our followers which is allowing us to get messages and deadlines for filing documents directly to families and students.

Ms. Misjak shared that more high schools are signing up each year for our 3 step process initiatives. Currently, the College Application Campaign is taking place and staff is working with high schools to dedicate time during the school day for students to complete an admission application to one or more colleges and universities.

Ms. Misjak provided a copy of the newly printed "Your Course to College" publication and shared that more than 3,000 pre-orders have been filled. Staff will be sending a sample copy to every high school guidance counseling office in the state next week. Ms. Misjak also shared that the Spanish version is currently in its final stages of production and will go to print soon.

Starting the third year of our GEAR UP Grant, Ms. Misjak said the cohort is entering their 9th grade year. Our cohort has grown this year to include every 9th grade student that is enrolled in the 26 public high schools that we serve, which allows the school to include all of the students in programming and services offered through GEAR UP. Ms. Misjak said an implementation plan that follows the framework that we have identified for the school to serve students must be submitted each year by each school. The six emphasis areas are Academic Support, Advising, College and Career Exposure, College Application and Enrollment, Family Knowledge and Engagement, and Non-cognitive Skills. Ms. Misjak said National GEAR UP Week is the next upcoming week and events will be happening at the schools to raise awareness around GEAR UP, which will be highlighted during the Governor's weekly press conference on Monday. There are educators, parents and students attending the event from Perry, Marshalltown and Des Moines Hoover high schools.

Ms. Misjak shared the television ad that was part of the FAFSA Media Campaign that was approved during the Special Meeting that was held on September 1, 2016.

Minutes of Meeting

Motion: Commissioner Moore moved to approve the meeting minutes for July 15, 2016 as written. Commissioner Shull seconded the motion which passed unanimously.

Motion: Commissioner Mulholland moved to approve the meeting minutes for September 1, 2016 as written. Commissioner Brace seconded the motion which passed unanimously.

Administrative Rules

Ms. Leeper said the first two rules to be adopted are tied to the FAFSA filing date being moved up, clean up language with respect to submission of employee information and to ensure that disbursement of funding is aligned with need under the Barber and Cosmetology Arts and Sciences Tuition Grant Program.

Ms. Leeper said the proposed amendment to Chapter 20 allows students at colleges and universities that grant credit based on terms other than semesters to receive equivalent benefits as students at colleges and universities that award based on semester terms.

Motion: Commissioner Ash moved to adopt amendments to Administrative Rules Chapter 12 – Iowa Tuition Grant Program, Chapter 17 – Barber and Cosmetology Arts and Sciences Tuition Grant Program. Commissioner Shull seconded the motion which passed unanimously.

Motion: Commissioner Claypool moved to adopt amendment to Administrative Rules Chapter 20 – National Guard Educational Assistance program. Commissioner Ford seconded the motion which passed unanimously.

ITT Technical Institute

On September 6, 2016, ITT Tech announced that it would close after it concluded instruction in the current program online term, which ends on October 16. On September 13, after Commission meeting materials were published, ITT Tech's cash assets were seized by its lender, which forced the school to layoff all remaining employees and cease providing instruction for the online programs. Only volunteer employees remained to continue finalizing academic records.

Ms. Small said the intent for the recommended action was to retroactively approve ITT Technical Institute so that state authorization would be in place to ensure that Iowa

students who received state or federal aid remained eligible to retain those funds for prior periods of enrollment. In addition, staff intended to recommend registration for a reasonable period going forward to ensure that its students remained eligible for any future payments of federal or state aid to pay costs the students incurred during final periods of enrollment leading up to the school's complete shut-down.

Ms. Small said there is no state financial aid in question with the exception of one Iowa student who is eligible for, but has not received, a small disbursement of a GEAR UP scholarship. Commissioner Quirmbach requested to extend the registration on a much shorter time period and revisit at the end of the year to see where the situation is at that time. Commissioner Moore questioned if we choose the date they cease to operate, how that would impact Iowa students in the long term. Ms. Small suggested that the Commission adopt an ending date for registration authorization to be one year, or the date the school ceases all operations. Ms. Small will revise the board item and the registration recommendation report to include commentary that explains why ITT was reauthorized to benefit Iowa students.

Motion: Commissioner Shull moved to approve ITT Technical Institute's request to renew its Iowa registration that begins retroactively on May 19, 2016 and ends on May 19, 2017 or until the date the institution ceases all operations, whichever is earlier. Commissioner Moore seconded the motion which passed unanimously.

Audit and Finance Committee Report

Ms. May reported the FY 2016 financials, fund and appropriations balances were reviewed and discussed during the Audit and Finance Committee meeting. The committee also discussed a document staff is working on for the total dollars overseen by the Commission. An update was provided to the committee on the 7 year financial plan and work has begun with Mark Weadick from Student Loan Capital Strategies. This plan will be presented to the full Commission during the November 2016 Commission Meeting. Ms. May said the committee also reviewed the recommendation the Legislative Committee is reviewing for the FY 2018-2019 Budget Request.

Legislative Committee Report

Commissioner Ash said the Legislative Committee recommends the following:

Motion: Commissioner Ash moved to amend Iowa Code section 261.2 "Duties of the Commission" to include a new paragraph giving the Commission authority to establish standards for the disposition of

uncollectible student debt owed to the Commission. Commissioner Shull seconded the motion which passed unanimously.

Motion: Commissioner Ford moved to amend Iowa Code sections 261.110 “Teach Iowa Scholar Program” and 261.112 “Teacher Shortage Loan Forgiveness Program” to ensure that teachers eligible to participate in both programs receive awards from just one of the programs, not both. Commissioner Moore seconded the motion which passed unanimously.

Ms. Leeper said the committee is recommending to amend Iowa Code sections 261.9 “Definitions” under the Iowa Tuition Grant Program and 261.25 “Appropriations – standing limited” and create a new “Iowa Tuition Grant For-Profit Colleges” section to separate the Iowa Tuition Grant Not-for-Profit Program from the Iowa Tuition Grant For-Profit Program and include Barber and Cosmetology Colleges as eligible institutions in the Iowa Tuition Grant For-Profit Program. The recommendation includes rescinding Iowa Code section 261.61 “Barber and Cosmetology Arts and Sciences Tuition Grant Program” and including the funding from that program in the appropriation for the Iowa Tuition Grant For-Profit Program.

Commissioner Moore said he opposes having a different matching requirement for some schools. He noted the Barber and Cosmetology schools want to be included in the program with a 25% match, which is significantly lower than the current match requirement. He said he would not support the reduction in the matching requirement.

Ms. Harms, from the Iowa Cosmetology Schools Association, said the recommendation of 25% match for the first year would allow their schools to be able to afford to participate, and they would add 5% each year up to a cap of 50%. She contended that the revenues for the Barber and Cosmetology schools are considerably less than at other for profit schools. Ms. Harms respectively requested the full match not be required and indicated she would like to continue to work with the Commission to come to a mutual agreement on this issue.

Commissioner Moore said currently the not-for-profit participating schools have a similar match to the for-profit schools and did not believe the Commission should approve a lower match for these schools as he did not feel it would be good state policy. Ms. Harms said their original request was to be part of the Vocational-Technical Tuition Grant Program. Ms. Leeper said the Vocational-Technical Tuition Grant Program was specifically created for community college students and that community colleges are greatly opposed to including non-public colleges under the program.

This recommendation did not move forward.

Motion: Commissioner Mulholland moved to amend Iowa Code Chapter 261B “Registration of Postsecondary Schools” to ensure the registration process is administered as intended by the General Assembly. Commissioner Shull seconded the motion which passed unanimously.

Motion: Commissioner Ford moved to combine two programs that assist nurses, nurse educators, nurse practitioners and physician assistants, and eliminate a third similar program that has never been funded. Commissioner Shull seconded the motion which passed unanimously.

Commissioner Report

Commissioner Moore shared an update from the private colleges as well as informed the Commission of his retirement at the end of this school year. Commissioner Moore said the Iowa Tuition Grant is the biggest program our agency administers and how great of an impact it has on the private colleges. He shared that the private colleges provided \$504 million dollars in institutional non loan financial aid annually.

Staff Reports

Ms. May provided an update of FY 2016 year-to-date financials.

Ms. Small provided a report on Postsecondary Registration Approvals as well as Exemption and SARA approvals, Exemption Approvals and provided a list of Exemption Applications that are currently under review.

Mr. Leuwerke presented on Promoting Non-cognitive Skills Through a Classroom Guidance Curriculum. This curriculum is currently being provided in our GEAR UP Iowa Schools and was created as part of the Commission’s GEAR UP Iowa Grant.

JANET ADAMS, CHAIR

KAROLYN WELLS, VICE CHAIR

IOWA COLLEGE STUDENT AID COMMISSION

**Condition of Higher Education Report
November 2016**

Iowa College Aid staff member Laura Ingleby will be presenting on the Condition of Higher Education Report.

IOWA COLLEGE STUDENT AID COMMISSION

**Student Loan Capital Strategies Presentation
November 2016**

Mark Weadick from Student Loan Capital Strategies will be presenting during the November 18, 2016 Commission Meeting.

IOWA COLLEGE STUDENT AID COMMISSION

Administrative Rules November 2016

RECOMMENDED ACTIONS:

Move to adopt amendments to *Administrative Rules Chapter 8 – All Iowa Opportunity Scholarship Program and Chapter 35 – Iowa Teacher Shortage Loan Forgiveness Program.*

The amendments to Chapter 8 strengthen the definition of “high school” and correct an Iowa Administrative Code reference.

The amendments to Chapter 35 provide a definition of “eligible school or agency” and strengthen and update the definition of “teacher”.

As a result of discussions with the Iowa Department of Education, the Adopted and Filed Rule has been modified from the Notice of Intended Action to ensure home schooled students are eligible for awards under the All Iowa Opportunity Scholarship Program (Chapter 8).

COLLEGE STUDENT AID COMMISSION[283]

Adopted and Filed

Pursuant to the authority of Iowa Code section 261.3, the Iowa College Student Aid Commission hereby adopts amendments to Chapter 8, “All Iowa Opportunity Scholarship Program,” and Chapter 35, “Iowa Teacher Shortage Loan Forgiveness Program,” Iowa Administrative Code.

The proposed amendments to Chapter 8 strengthen the definition of “high school” and correct an Iowa Administrative Code reference.

The proposed amendments to Chapter 35 provide a definition of “eligible school or agency” and strengthen and update the definition of “teacher.” In addition, for technical purposes, numbered paragraphs “1” to “4” are renumbered as subrules 35.3(1) to 35.3(4) in Item 4.

Notice of Intended Action was published in the Iowa Administrative Bulletin on August 17, 2016, as ARC 2677C. Following discussions with the Iowa Department of Education, the adopted rule has been modified from the language published under Notice of Intended Action to ensure that homeschooled students are eligible for awards under the All Iowa Opportunity Scholarship Program.

The Commission does not intend to grant waivers under the provisions of these rules.

After analysis and review of this rule making, the Commission finds that there is no impact on jobs.

These amendments are intended to implement Iowa Code chapter 261.

These amendments will become effective on January 25, 2017.

The following amendments are adopted.

ITEM 1. Amend paragraph **8.3(1)“a”** as follows:

a. An Iowa resident who begins ~~his or her~~ an initial period of postsecondary enrollment within two

academic years of graduation from a public or accredited nonpublic Iowa high school that is recognized and approved by the Iowa department of education or from authorized home school instruction;

ITEM 2. Amend subrule 8.4(6) as follows:

8.4(6) *Renewal.* Applicants must complete and file annual applications (FAFSAs) for the all Iowa opportunity scholarship program by the deadline established by the commission. If funds remain available after the application deadline, the commission will continue to accept applications. To be eligible for renewal, a recipient must maintain satisfactory academic progress as defined by the eligible college or university and must not have exceeded the funding limit as described in ~~8.4(6)~~ 8.4(3).

ITEM 3. Amend rule 283—35.2(261) as follows:

283—35.2(261) Definitions. As used in this chapter:

“Eligible school or agency” means a public school district, area education agency, charter school, or accredited nonpublic school recognized and approved by the Iowa department of education.

“Shortage area” means a geographic or subject area in which there exists a teacher ~~shortages~~ shortage as determined annually by the director of the Iowa department of education.

“Teacher” means an individual holding a practitioner’s license or a statement of professional recognition issued by the Iowa board of educational examiners, under Iowa Code chapter 272 and who is employed in a nonadministrative position in a designated shortage area by a school district or area education agency pursuant to a contract issued by a board of directors under Iowa Code section 279.13. “Teacher” also includes a preschool teacher who is licensed by the board of educational examiners under Iowa Code chapter 272 and is employed by an eligible school or agency. Further, a teacher is a licensed member of a school’s instructional staff who diagnoses, prescribes, evaluates, and directs student learning in a manner consistent with professional practice and school objectives, shares responsibility for the development of an instructional program and any coordinating activities, evaluates or assesses student progress before and after instruction, and uses student evaluation or assessment information to promote additional student learning.

ITEM 4. Amend rule 283—35.3(261) as follows:

283—35.3(261) Eligibility requirements.

~~1- 35.3(1)~~ Applicants must be teaching in approved shortage areas at ~~Iowa kindergarten through twelfth grade schools recognized and approved by the Iowa department of education~~ an eligible school or agency.

~~2- 35.3(2)~~ Applicants must complete and file annual applications for the Iowa teacher shortage loan forgiveness program by the deadline established by the commission. If funds remain available after the application deadline, the commission will continue to accept applications.

~~3- 35.3(3)~~ Applicants must annually complete and return to the commission affidavits of practice verifying that they are teaching in eligible teacher shortage areas.

~~4- 35.3(4)~~ Applicants must begin their first teaching jobs in Iowa on or after July 1, 2007.

**IOWA COLLEGE STUDENT AID COMMISSION
Information Technology Evaluation Contractor**

November 2016

Recommended Action:

Authorize the Executive Director to hire a consultant to advise Iowa College Aid with respect to its Information Technology (IT) services.

Iowa College Aid staff has been in discussions with the State's Office of the Chief Information Officer (OCIO) seeking authority to hire additional staff to facilitate the information technology (IT) needs of the agency. These discussions lead to the OCIO recommending that a broad review of the needs of the agency be completed before additional staffing can be approved by the OCIO. The OCIO must provide approval for the hiring of all information technology services staff for all state agencies.

Iowa College Student Aid's Public Service Manager 1 who oversaw the agency's information technology and research division, recently left the Commission. With this departure, the timing is right to seek the services of a consultant to develop an IT roadmap for the modernization of service delivery to include the preparation of an IT evaluation that aligns current IT initiatives with the needs of the Iowa College Student Aid Commission including aligning current IT systems with research, federal grant administration, state scholarship and grant delivery, and other administrative needs.

The hiring of a consultant has been approved by the OCIO at a rate of \$104 per hour. The consultant's work will take from 3 to 6 months to complete, with total contract costs ranging from approximately \$55,000 to \$109,000.

IOWA COLLEGE STUDENT AID COMMISSION

**Audit and Finance Committee
November 2016**

An Audit and Finance Committee report will be provided during the November 18, 2016 Commission Meeting.

IOWA COLLEGE STUDENT AID COMMISSION

**Legislative Committee
November 2016**

A Legislative Committee report will be provided during the November 18, 2016 Commission Meeting.

IOWA COLLEGE STUDENT AID COMMISSION

**Board Structure Committee
November 2016**

A Board Structure Committee report will be provided during the November 18, 2016 Commission Meeting.

IOWA COLLEGE STUDENT AID COMMISSION
OPERATING FUND 0163 - YEAR TO DATE/PRIOR YEAR ACTUAL COMPARISON BY UNIT
SUMMARY OF RESOURCES AND EXPENDITURES
SFY 2017 as of October 31, 2016

Operating Fund		FY 2017	FY 2017	FY 2016	FY 2017	FY 2016	FY 2017	YTD Actual
		Operating	Year to Date	Oct-15	Oct-16	Year to Date	Year to Date	to Budget
Class		Budget	Budget	Mth Actual	Mth Actual	Actuals	Actuals	Variance
Revenues/Resources:								
1	Interest on Operating Fund (2001)	50,000	16,667	-	12,174	7,925	26,046	9,379
2	Other Revenue/ PLP & Great Lakes Revenue (P&I)	1,927,640	642,547	310,617	333,973	867,313	1,137,577	495,031
3	Intra-Agency Reimbursements	3,750,002	1,875,000	319,878	408,420	589,017	553,056	(1,321,944)
4	Reimbursement Other Agencies	2	-	-	739	-	739	739
5	Intra State Transfer	50,000	16,667	11,020	-	11,020	11,039	(5,628)
Total Revenues/Resources		\$ 5,777,644	\$ 2,550,881	\$ 641,515	\$ 755,306	\$ 1,475,276	\$ 1,728,457	\$ (822,424)
Expenditures:								
6	Agency Administration (2001)	906,303	391,489	130,072	-	604,414	-	(391,489)
7	Marketing Administration (2002)	281,497	82,629	38,796	-	159,587	-	(82,629)
Total Administrative		\$ 1,187,800	\$ 474,118	\$ 168,868	\$ -	\$ 764,001	\$ -	\$ (474,118)
8	FFELP Expense (3004)	1	-	35	-	37	-	-
9	Collection Expense - PLP (8008)	42,000	14,000	4,756	2,803	13,738	11,730	(2,270)
Total FFELP and Collection Expenses		\$ 42,001	\$ 14,000	\$ 4,791	\$ 2,803	\$ 13,775	\$ 11,730	\$ (2,270)
10	Scholarship and Grants (5002)	1,381,737	460,506	35,331	34,757	222,809	285,917	(174,589)
11	Postsecondary Registration (5003)	575,611	185,632	10,253	18,903	45,533	197,577	11,945
Total Osteo, S&G, Postsecondary Reg		\$ 1,957,348	\$ 646,138	\$ 45,584	\$ 53,660	\$ 268,342	\$ 483,494	\$ (162,644)
Total Operating Expenses		3,187,149	1,134,256	219,243	56,463	1,046,118	495,224	(639,032)
Net resources (exp) before other		\$ 2,590,495	\$ 1,416,625	\$ 422,272	\$ 698,843	\$ 429,157	\$ 1,233,233	\$ (183,392)
Federal Grant Resources (Grant Drawdown)								
12	Gear Up Grant (9008)	3,180,518	1,060,173	(1,478,900)	171,684	204,784	291,987	(768,186)
13	Gear Up Scholarship (9001) (5002)	3,750,000	1,250,000	319,878	408,420	589,017	561,295	(688,705)
14	JR Justice (4001)	32,662	10,887	27,755	29,620	27,755	29,620	18,733
17	Challenge Grant (7007)	446,825	148,942	52,277	250,597	87,376	308,170	159,228
Total Federal Grant Resources		\$ 7,410,005	\$ 2,470,002	\$(1,078,990)	\$ 860,321	\$ 908,931	\$ 1,191,072	\$ (1,278,930)
Federal Grant Expenditures (grants)								
18	Gear Up Grant (9008)	3,180,518	1,039,434	-1,470,617	45,930	324,361	635,657	(403,777)
19	Gear Up Scholarship (9001)	7,500,001	2,500,000	1,238,163	741,425	2,368,668	1,871,700	(628,300)
20	JR Justice (4001)	32,663	10,888	-	0	28,794	31,313	20,425
21	Challenge Grant/VISTA (7007)	2,285,215	771,073	21,066	66,248	103,801	508,158	(262,915)
Total Federal Grant Expenditures		\$12,998,397	\$ 4,321,395	\$ (211,388)	\$ 853,603	\$ 2,825,624	\$ 3,046,828	\$ (1,274,567)
Net Federal Grant Income (loss)		\$ (5,588,392)	\$ (1,851,393)	\$ (867,602)	\$ 6,718	\$ (1,916,692)	\$ (1,855,756)	\$ (4,363)
Net Gain (Loss) Operating Fund		\$ (2,997,897)	\$ (434,769)	\$ (445,330)	\$ 705,561	\$ (1,487,535)	\$ (622,523)	\$ (187,755)
								(0)

**IOWA COLLEGE STUDENT AID COMMISSION
OPERATING FUND 0163 - YEAR TO DATE UNIT DETAIL
SUMMARY OF RESOURCES AND EXPENDITURES
SFY 2017 as of October 31, 2016**

Operating Fund	UNIT 2001	UNIT 2002	UNIT 3004	UNIT 4001	UNIT 5002	UNIT 5003	UNIT 7007	UNIT 8008	UNIT 9001	UNIT 9008	YTD ACTUAL	YTD BUDGET	YTD Actual to Budget
	ADMIN	MARKETING	FFELP	JR JUSTICE	S&G	POSTSEC REG	CCE/ CHALLENG E GRANT	PLP	GEAR UP SCH	GEAR UP	TOTAL	TOTAL	Variance
Revenues/Resources:													
1 Interest on Operating Fund	26,046	-	-	-	-	-	-	-	-	-	26,046	16,667	9,379
2 Other Revenue/ PLP & Great Lakes Revenue (P&I)	50	-	1,086,599	-	-	-	200	41,892	8,836	-	1,137,577	642,547	495,031
3 Intra-Agency Reimbursements	-	-	-	-	8,239	-	-	-	553,056	-	561,295	1,875,000	(1,313,705)
4 Intra State Transfer	-	2,800	-	-	-	-	-	-	-	-	2,800	16,667	(13,867)
5 Grant DrawDown from USDE	-	-	-	29,620	8,239	-	308,170	-	553,056	291,987	1,191,072	2,470,002	(1,278,930)
6 Reimbursements from other Entities	739	-	-	-	-	-	-	-	-	-	739	-	739
7 Gov Transfer In Other Agencies	-	-	-	-	-	-	-	-	-	-	-	-	-
8 Fees, Licenses & Permits	-	-	-	-	-	-	-	-	-	-	-	-	-
9 Unearned Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-
10 State Appropriation	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Revenues/Resources	\$26,835	\$2,800	\$1,086,599	\$29,620	\$16,478	\$-	\$308,370	\$41,892	\$1,114,948	\$291,987	\$2,919,529	\$5,020,883	(\$2,101,354)
Expenditures:													
11 Personal Services	452,263	103,327	-	-	33,524	68,978	52,924	-	-	131,546	842,562	989,151	(146,589)
12 Travel	2,012	1,408	-	-	2,294	1,236	20,123	-	-	24,447	51,520	71,956	(20,436)
13 Office Supplies	13,901	2,344	-	-	425	-	30	-	-	688	17,388	13,220	4,168
14 Equipment Repairs	-	-	-	-	-	-	-	-	-	-	-	433	(433)
15 Professional & Scientific Supplies	-	-	-	-	-	-	-	-	-	-	-	1,333	(1,333)
16 Other Supplies	-	-	-	-	-	-	-	-	-	-	-	-	-
17 Printing and Binding	-	33,591	-	-	-	-	-	-	-	2,139	35,730	41,600	(5,870)
18 Food	-	-	-	-	-	-	-	-	-	-	-	-	-
19 Postage	5,402	4,791	-	-	355	-	482	-	-	1,392	12,422	10,500	1,922
20 Communications	4,652	582	-	-	699	214	750	-	-	1,020	7,917	13,875	(5,958)
21 Rentals	40,652	2,023	-	-	-	-	-	-	-	-	42,675	205,108	(162,433)
22 Professional & Scientific Services	-	-	-	-	4,950	-	28,128	-	-	5,298	38,376	29,069	9,307
23 Outside Services - Other	5,107	-	-	-	1,271	11,136	27,360	11,730	-	160,010	216,614	665,813	(449,199)
24 Intra-State Transfers	6,084	-	-	-	-	-	-	-	-	-	6,084	16,013	(9,929)
25 Advertising & Publicity	-	8,291	-	-	-	-	109,905	-	-	-	118,196	40,467	77,729
26 Attorney General	5,001	-	-	-	-	-	-	-	-	-	5,001	11,667	(6,666)
27 State Audits	-	-	-	-	-	-	-	-	-	-	-	5,000	(5,000)
28 State Reimbursements	2,619	316	-	-	397	224	149	-	-	570	4,275	14,310	(10,035)
29 ITE Reimbursements	30,282	3,191	-	-	261	158	117	-	-	278	34,287	41,981	(7,694)
30 IT Outside Services	-	-	-	-	100,774	-	19,380	-	3,420	-	123,574	167,668	(44,094)
31 Intra-Agency Reimbursements	(637,848)	(160,712)	-	1,917	140,946	115,631	209,622	-	19,804	310,640	-	-	-
32 Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
33 Office Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
34 IT Equipment & Software	69,873	-	-	-	-	-	29,617	-	-	-	99,490	68,636	30,854
35 Other Expenses & Obligations	-	848	-	-	21	-	-	-	-	-	869	1,769	(900)
36 Licenses	-	-	-	-	-	-	-	-	-	-	-	-	-
37 Fees	-	-	-	-	-	-	-	-	-	-	-	-	-
38 Other Refunds	-	-	-	-	-	-	-	-	-	-	-	-	-
39 Outside Repairs/Services	-	-	-	-	-	-	-	-	-	-	-	-	-
40 State Aid	-	-	-	-	-	-	-	-	-	-	-	3,046,082	(3,046,082)
41 Aid to Individuals	-	-	-	29,396	-	-	9,571	-	1,848,476	(2,371)	1,885,072	-	1,885,072
Total Expenditures	\$-	\$-	\$-	\$31,313	\$285,917	\$197,577	\$508,158	\$11,730	\$1,871,700	\$635,657	\$3,542,052	\$5,455,651	(\$1,913,599)
Net Gain(Loss)Operating Fund	\$26,835	\$2,800	\$1,086,599	(\$1,693)	(\$269,439)	(\$197,577)	(\$199,788)	\$30,162	(\$756,752)	(\$343,670)	(\$622,523)	(\$434,769)	(\$187,755)

IOWA COLLEGE STUDENT AID COMMISSION
SCHOLARSHIP & GRANT ADMINISTRATION
SUMMARY OF EXPENDITURES
SFY 2017 as of October 31, 2016

State Appropriated - \$431,896

	FY 2016	FY 2017	FY 2017	FY 2017	Variance
	Actual	Budget	Year to Date	Year to Date	Over
			Budget	Actual	(Under)
Expenditures:					
1 Salaries	\$ 458,282	\$ 504,947	155,368	\$ 117,778	\$ (37,590)
2 Travel	-	-	-	-	-
3 Office Supplies	-	-	-	-	-
4 Equipment Repairs	-	-	-	-	-
5 Printing	-	-	-	-	-
6 Postage	-	-	-	-	-
7 Communications	-	-	-	-	-
8 Rental	-	-	-	-	-
9 Professional Services	-	-	-	-	-
10 Outside Services	-	-	-	-	-
11 State Transfers	-	-	-	-	-
12 State Reimbursements	-	-	-	-	-
13 ITD Reimbursements	-	-	-	-	-
14 Office Equipment	-	-	-	-	-
15 IT Equipment & Software	-	-	-	-	-
16 Other Expenses & Obligations	-	-	-	-	-
Total Expenditures	\$ 458,282	\$ 504,947	\$ 155,368	\$ 117,778	\$ (37,590)

Non Appropriated (Covered by Operating Fund 0163-Unit 5002)

	FY 2016	FY 2017	FY 2017	FY 2017	Variance
	Actual	Budget	Year to Date	Year to Date	Over
			Budget	Actual	(Under)
Expenditures:					
17 Salaries	2,934	2,934	903	33,524	32,621
18 Travel	13,920	12,311	4,104	2,294	(1,810)
19 Office Supplies	2,570	2,535	845	425	(420)
20 Professional Services	-	5,207	1,736	4,950	3,214
21 Printing	1,000	500	167	-	(167)
22 Postage	4,000	2,000	667	355	(312)
23 Communications	2,774	2,774	925	699	(226)
24 Rental	-	-	-	-	-
25 Outside Services	6,000	6,000	2,000	1,271	(729)
26 State Transfers	26,386	26,386	8,795	-	(8,795)
27 State Reimbursements	1,820	1,881	627	397	(230)
28 ITD Reimbursements	1,425	1,869	623	261	(362)
29 Intra-Agency Reimbursements	856,544	810,836	270,279	140,946	(129,333)
30 Gov Transfer Other Agencies	-	-	-	-	-
31 Office Equipment	-	-	-	-	-
32 IT Equipment & Software	2,000	2,000	667	-	(667)
33 IT Outside Services	800,000	503,004	167,668	100,774	(66,894)
34 Other Expenses & Obligations	-	1,500	500	21	(479)
Total Expenditures	\$ 1,721,373	\$ 1,381,737	\$ 460,506	\$ 285,917	\$ (174,589)

Total Expenditures (Appropriated + Non-Appropriated)

	FY 2016	FY 2017	FY 2017	FY 2017	Variance
	Actual	Budget	Year to Date	Year to Date	Over
			Budget	Actual	(Under)
Expenditures:					
35 Salaries	\$ 461,216	\$ 507,881	\$ 156,271	\$ 151,302	\$ (4,969)
36 Travel	13,920	12,311	4,104	2,294	(1,810)
37 Office Supplies	2,570	2,535	845	425	(420)
38 Equipment Repairs	-	5,207	1,736	4,950	3,214
39 Printing	1,000	500	167	-	(167)
40 Postage	4,000	2,000	667	355	(312)
41 Communications	2,774	2,774	925	699	(226)
42 Rental	-	-	-	-	-
43 Outside Services	6,000	6,000	2,000	1,271	(729)
44 State Transfers	26,386	26,386	8,795	-	(8,795)
45 State Reimbursements - Other	1,820	1,881	627	397	(230)
46 ITD Reimbursements	1,425	1,869	623	261	(362)
47 Intra-Agency Reimbursements	856,544	810,836	270,279	140,946	(129,333)
48 Gov Transfer Other Agencies	-	-	-	-	-
49 Office Equipment	-	-	-	-	-
50 IT Equipment & Software	2,000	2,000	667	-	(667)
51 IT Outside Services	800,000	503,004	167,668	100,774	(66,894)
52 Other Expenses & Obligations	-	1,500	500	21	(479)
Total Expenditures	\$ 2,179,655	\$ 1,886,684	\$ 615,874	\$ 403,695	\$ (212,179)

Check

IOWA COLLEGE STUDENT AID COMMISSION

Postsecondary Registration November 2016

Postsecondary Registration Approvals

Staff has approved the following noncontroversial registration applications since the last report to Commissioners in September of 2016.

Boston University (out of state)
Concordia University Irvine (out of state)
Western Governors University (out of state)
Mount Carmel College of Nursing (out of state)
Western Illinois University (out of state)
Trident University International (out of state)
Brescia University (out of state)
Blessing-Rieman College of Nursing and Health Sciences (out of state)
Vatterott College (out of state)
South University (out of state)
Nebraska Wesleyan University (out of state)
Bellevue University (out of state)
Georgetown University (out of state; subsequently SARA approved in Washington DC)
Northcentral University (out of state)
Carlson College of Massage Therapy (Iowa)

Postsecondary registration evaluation reports for approved schools may be accessed on the Commission's website at <https://www.iowacollegeaid.gov/content/postsecondary-applications>.

Exemption & Iowa SARA Approvals

Staff has approved the following Iowa schools' application for exemption from registration and participation in SARA under new consumer protection rules for exempt schools that became effective on May 27, 2016:

University of Northern Iowa
University of Iowa
Allen College
Wartburg Theological Seminary

Iowa schools that are approved for SARA participation are listed on the NC-SARA website at <http://nc-sara.org/states/ia>.

Exemption Approvals

Luther College

Exemption Applications under Review

The Salon Professional Academy

American Hair Academy

Body Wisdom

Iowa School of Beauty

LaJames College of Hairstyling (Mason City, Iowa; not affiliated with La'James

International College)

Grand View University